

## FORMALITIES LETTER



\*OC00000005050507\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/489,676	01/24/2000	Meric	1581.0540001

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C  
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Date Mailed: 04/14/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$680.
  - \$342 for 19 total claims over 20.
  - \$78 for 1 independent claims over 3.
  - \$260 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1500.**

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/16/2000 GTEFFERA 00000065 09489676

02 FC:101	690.00 OP
03 FC:103	396.00 OP
04 FC:102	78.00 OP

05 FC:105

130.00 OP

**STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.**

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August 14, 2000

WRITER'S DIRECT NUMBER:  
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INTERNET ADDRESS:  
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Commissioner for Patents  
Washington, D.C. 20231



*Box Missing Parts*

Re: U.S. Patent Application  
Appl. No. 09/489,676; Filed: January 24, 2000  
For: **IEEE Set Top Box Device Driver**  
Inventors: MERIC *et al.*  
Our Ref: 1581.0540001

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated April 14, 2000, Applicants submits the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Petition for Extension of Time under 37 C.F.R. § 1.136 (*in duplicate*);
2. PTO Fee Transmittal Form PTO/SB/17 (*in duplicate*);
3. Copy of the Notice to File Missing Parts;
4. An original, executed Declaration;
5. First Supplemental Preliminary Amendment;

Commissioner for Patents

August 14, 2000

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6. Our Check No. 28399 for \$1,674.00 to cover:

\$ 690.00 Filing Fee for Patent Application (37 C.F.R. § 1.16),  
\$ 396.00 Fee for excess claims (37 C.F.R. § 1.16),  
\$ 78.00 Fee for excess claims (37 C.F.R. § 1.16),  
\$ 130.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16),  
\$ 380.00 For extension of time fees under 37 C.F.R. § 1.136; and


7. One return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

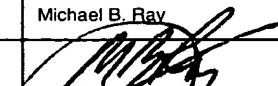


Michael B. Ray  
Attorney for Applicants  
Registration No. 33,997

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>FEE TRANSMITTAL</b> for FY 2000 <i>Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</i>		<b>Complete if Known</b>	
TOTAL AMOUNT OF PAYMENT		Application Number	09/489,676
(\$1,674.00)		Filing Date	January 24, 2000
		First Named Inventor	Jérôme Meric
		Examiner Name	(to be assigned)
		Group / Art Unit	2713
		Attorney Docket Number	1581.0540001

<b>METHOD OF PAYMENT (check one)</b>		<b>FEE CALCULATION (continued)</b>					
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:  Deposit Account Number: 19-0036 Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.  <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		3. ADDITIONAL FEES					
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input checked="" type="checkbox"/> Other* *Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.							
<b>FEE CALCULATION</b>							
1. BASIC FILING FEE							
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid		
101	690	201	345	Utility filing fee	\$690.00		
106	310	206	155	Design filing fee			
107	480	207	240	Plant filing fee			
108	690	208	334	Reissue filing fee			
114	150	214	75	Provisional filing fee			
SUBTOTAL (1)					(\$)	690.00	
2. EXTRA CLAIM FEES							
Total Claims 42 - 20** = 22		Extra	Fee from below		Fee Paid		
Indep. Claims 4 - 3** = 1					\$ 78.00		
Multiple Dependent					NONE		
** or number previously paid, if greater; For Reissues, see below							
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description			
103	18	203	9	Claims in excess of 20			
102	78	202	39	Independent claims in excess of 3			
104	260	204	130	Multiple dependent claim			
108	78	209	39	**Reissue independent claims over original patent			
110	18	210	9	**Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2)					(\$)	474.00	
		SUBTOTAL (3) (\$)					
		510.00					

<b>SUBMITTED BY</b>				<b>Complete (if applicable)</b>	
Typed or Printed Name	Michael B. Ray			Reg. Number	33,997
Signature				Deposit Acct. User ID	
	Date	8/14/00			

**WARNING**

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SKGF Rev. 5/11/00 mac

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